

**SEVENTH OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**APALACHEE CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted May 17-19, 2016

**CMA STAFF**

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CAP Assessment Distributed on October 19, 2018

## **CAP Assessment of Apalachee Correctional Institution**

### **I. Overview**

On May 17-19, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Apalachee Correctional Institution (ACI). The survey report was distributed on June 8, 2016. In July 2016, ACI submitted, and the CMA approved, the institutional corrective action plan (CAP), which outlined the efforts to be undertaken to address the findings of the May 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On October 25, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on November 22, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 15 of 19 physical health findings and 15 of 23 mental health findings were corrected on the East Unit. Additionally, 21 of 21 physical health findings and 8 of 12 mental health findings were corrected on the West Unit.

On February 28, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on March 27, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 4 of 4 physical health findings and 6 of 8 mental health findings were closed on the East Unit. Additionally, 4 of 4 mental health findings were closed on the West Unit.

On July 7, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on July 19, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 2 mental health findings were closed on the East Unit.

On November 9, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on November 20, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 1 mental health findings were closed on the East Unit.

On February 26, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on March 29, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 1 mental health findings were closed on the East Unit.

On May 31, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on June 27, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 1 mental health findings were closed on the East Unit.

On September 25, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on October 17, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA’s evaluation of the institution’s efforts to address the survey findings.

**II. Physical Health Assessment Summary**

**A. East Unit**

All physical health findings were closed on the second CAP assessment.

**B. West Unit**

All physical health findings were closed on the first CAP assessment.

**III. Mental Health Assessment Summary**

**A. East Unit**

The CAP closure files revealed sufficient evidence to determine that 1 of 1 mental health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<p><b><u>OUTPATIENT PSYCHOTROPIC MEDICATION PRACTICES</u></b></p> <p><b>MH-17: In 2 of 2 applicable records (17 reviewed), Emergency Treatment Orders were not signed, dated, or timed.</b></p>	<p><b>MH-17 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-17.</p>

**B. West Unit**

All mental health findings were closed on the second CAP assessment.

#### **IV. Conclusion**

All findings of the May 2016 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.